

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR) Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

Executive Summary:

During FFY 2016, the Puerto Rico Early Intervention Program made substantial efforts to improve child and family outcomes by strengthening each of the components required under Part C of IDEA. Part C has a single line of responsibility; the Coordinator, the Program Evaluator, the Data Manager and the Child Development Consultant.

The EIP developed and started the implementation of phase 3 of the State Systemic Improvement Plan (SSIP). As a part of this process, the program continued working with three priorities: personnel development, family engagement and monitoring. In terms of personnel development, the program continued collaborating with the University of Puerto Rico LEND/UCEDD to implement an in-service training schedule for service coordinators and service providers on Part C regulations, family centered and routine based services, coaching, among other topics. The program is also receiving intensive technical assistance in personnel development from the Early Childhood Personnel Center (ECPC) of the University of Connecticut (UCONN). As a result of the intensive TA provided by ECPC, a leadership team was convened with representation of the Puerto Rico Parent Training and Information Center (PR PTI Center APNI), the Office of Collaboration of Early Head Start/Head Start, United Ways, Governors' Office for Quality of Life, the University of Puerto Rico UCEDD, PR MCH Division, among others. An initial action plan was developed and is in the process of implementation (Please see Attachment with a graphic summary of ECPC TA results).

In terms of family engagement, the program continued strengthening the relationship with the Puerto Rico PTI - *Apoyo a Padres de Niños con Impedimentos* (APNI), and as a result a representative of APNI is now a part of the ECPC leaders group. Special efforts have been made to increase participation of families in the State Interagency Coordination Council meetings and other program activities. The Part C coordinator is participating in the NCSI collaborative on family engagement and results together with the Puerto Rico parent training and information center's director. Participation in the collaborative is the first step towards the identification and implementation of Parents Leaders Groups for Early Intervention. The first group of early intervention parents that are going to be trained as leaders is schedule for April 2018 in the Arecibo health region. Results from the indicators 4A, 4B and 4C: Family Outcomes survey, completed by 799 families, show that 96.4% reported that early intervention services have helped them know their rights; 96.1% reported that early intervention services have helped them communicate their child's needs; and 98% of the families indicated that early intervention services have helped them help their child develop and learn.

As of October 2017, the Puerto Rico Early Intervention Program was providing services to 17 children with microcephaly due to the ZIKA virus. Recognizing that follow up and service provision is crucial to families affected by Zika, the Puerto Rico Department of Health submitted a proposal to HRSA in order to establish the Puerto Rico partnership to optimize family support for families affected by Zika virus. The early intervention program is part of this project that pursues to help families of children impacted by the virus to smoothly navigate the health care system to obtain the services needed for the child, and to be part of the decision making process. There are 3 partners in this initiative, which are the Department of Health MCH and early intervention programs sharing the leadership/coordination roles for the project, the Association of Spina Bífida and Hydrocephalus, that will provide orientation and training to the affected families to motivate them to be part of the health decision making process and to educate service providers in how to provide family centered services, and the UPR LEND/UCEDD who will be leaders in technical assistance for services providers. The project is joining efforts with Family Voices and APNI to provide training to families and service providers in how to deliver efficient and effective services to families affected by Zika.

In terms of monitoring activities, efforts have been made to continuously gather data that allow the state office to ensure compliance with IDEA requirements. As a result, there is improvement in the compliance indicators. Puerto Rico has achieved 100% compliance in indicators 1 and 7. Although there are still some challenges with indicator 8, a higher percent has been achieved in 8A and 8C. The number of monitoring site-visits has also increased in the past years. The Monitoring Manual is in revision and it is expected to be finished and distributed this fiscal year.

As child outcomes is always the priority, the SMU has increased the percentage of child outcomes reported, from 16% in FFY 2013 to 78% in FFY 2015, when compared to the percentage of children exiting the Program in the 618 data report. Our target is to comply with the Data Completeness criterion on the Results Matrix for the States Determination Letters.

As well known, in the aftermath of Hurricane Irma, on September 20th, 2018, Hurricane Maria - winds with 150 mph -made direct landfall on the U.S. Territory of Puerto Rico knocking out power of 100% of the Island. Due to the damage of the storm, various Part C programs, including the state office, had to relocate. The state staff is now located at the Puerto Rico Medical Center in San Juan, home of the University Pediatric Hospital, where our local office of San Juan/Metro area is located. However, we were able to secure program's records and the data collected for this submission. In the aftermath of the storm, while addressing personal challenges, and communication lines and antennas were down, the service coordinators had to go and find the families to the extend as possible, since many roads were obstructed. Much of the population are going to be impacted for years to come. Some have have left for the US mainland, including over 350 participant families to December 2017. This will impact the number of children identified and served by Part C in the future. While the storm did not influence the data for this submission, we expect there to be an impact for the FFY 2017 submission, in terms of data completeness. OSEP recognizes that Puerto Rico will focus in recovering from the effect of the 2017 hurricanes and has committed to being able to provide support to improve the quality of data and child outcomes. Currently, we are working with the Special Education Program to co-locate some of the local programs.

Aside from the storm, Puerto Rico is under the Title III of bankruptcy that affects every aspect of life. However, since Part C is mostly funded by Part C and MOE required funds, we will continue to provide services for children and their families. We anticipate that collaborative activities could be impacted by funding. OSEP has committed to continue to provide assistance to aid in the recovery efforts and address any fiscal issues as a result of the bankruptcy.

Attachments

File Name	Uploaded By	Uploaded Date	Remove
ecpc infographic.pdf	Abraham Rivera Alvarado		<input type="button" value="R"/> e m o v e

General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The lead agency for Puerto Rico Part C is the Puerto Rico Department of Health (PRDOH). The program is located under the Maternal, Child and Adolescent Division and has seven regional offices island-wide. Regional staff is led by a regional early intervention supervisor who is responsible for the supervision of intake and service coordinators, service providers and other private or community based corporations that provide services to eligible children.

During FFY 2016, the Puerto Rico Early Intervention Program made substantial efforts to improve child and family outcomes by strengthening each of the components required under the Part C of IDEA. Part C has a single line of responsibility. The Part C Coordinator, is responsible for overseeing all the program activities, collaborations, and accountability, the Program Evaluator is responsible for monitoring and evaluation activities, the Data Manager that is in charge of collecting and analyzing all the data from regional offices, and a child development consultant to guarantee an appropriate implementation of the procedures and routine based strategies. As part of the accountability process, the Supervision and Monitoring Unit (SMU) performs regular on-site visits to all early intervention regional programs. In those site visits, monitoring activities are carried out such as record review, and provides guidance to the regional staff based on monitoring results and on the analysis of data submitted by the regional supervisors on an ongoing basis.

Findings of data analysis, site visits and periodic reports are discussed in the supervisors' monthly meetings to timely address noncompliance risks or special situations that may affect child outcomes. Service providers are monitored using OSEP results indicators data, monthly reports, progress notes, and analyzing financial data such as professional services invoices. If a correction action is needed, instructions for correction are provided using official memos. Puerto Rico Early Intervention Program corrects findings of noncompliance within a year of notification, consistent with OSEP memo 09-02.

APR results (report version and a family friendly version) are available for public comment in the Puerto Rico Department of Health website and also are available in the regional offices bulletin boards. The following link provide access to the APR report in its two versions: [FFY 2015 Public Reporting](#) [FFY 2015 APR](#)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

Technical assistance for the program is led by the child development consultant who is a specialist in developmental pediatrics. The consultant works closely with the Supervision and Monitoring Unit and addresses identified issues related with early intervention processes, development of functional outcomes, eligibility determinations and ongoing assessments. Trainings are tailored to regions according to monitoring findings. Tools developed by NCSI, ECTA/DaSy and the ECO Center are used in the TA system, and frequently the UPR/UCEDD/LEND program provides feedback as well. Some of the training topics covered by the TA leader include: child assessment, evaluation, eligibility criteria, child outcomes measurement, and functional outcomes. The consultant has expertise in child development and knowledge of the IDEA, norms, procedures, and regulations, ECTA guidelines and Child Outcomes measurement.

One technical assistance strategy used is to include one DEC recommended practice in every supervisors monthly meeting and provide time in the agenda to share the practice as suggested by the ECO Center and discuss how those practices are being or could be implemented at the regional level.

Periodic conference calls with TA persons of NCSI and other TA centers are schedule to discuss implementation of strategies to improve results and ensure high quality services.

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The child development consultant is the leader in charge of training service providers in the delivery of services in Natural Environments (NE) to improve results for infants and toddlers with disabilities and their families. Trainings are provided on-site in each EI regional Programs. Supervisors meet monthly with the Part C coordinator to discuss providers' performance in NE, appropriate COS ratings, and the proper use of the decision tree to improve outcomes for children and families. The consultant gathers their input and coordinates meetings at the regional offices to provide technical assistance and continuous training to ensure that providers have the skills to effectively provide services that improve results for infants and toddlers with disabilities and their families. As part of the State Systemic Improvement Plan, PR EIP established and has maintained an agreement with the Puerto Rico University of Puerto Rico UCEDD/LEND program to serve as the early intervention system scientific partner. With this collaboration, the system personnel will receive the latest information and in service training in evidence based practices that can be translated in strategies to ensure high quality services for the children and families. Another important activity performed towards the improvement of the CSPD was the continuation with the implementation of a team of early childhood leaders that are receiving intensive technical assistance by the University of Connecticut Early Childhood Personnel Center (ECPC). The group includes representatives of early intervention, Early Childhood, Special Education Program, the governor's Council for Early Childhood, Early Head Start/Head Start, and other partners. The goal of the ECPC leaders group is to improve and implement a standard uniform personnel development system across the different agencies that provide services to this population.

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The Puerto Rico State Interagency Coordination Council (SICC) is the group that brings together the main PR EIS stakeholders. During 2016, the SICC worked together in 4 meetings to provide input on issues regarding SSIP, determination results, compliance with IDEA requirements and other collaboration activities. As in the previous year, the January meeting is to analyze and discuss all the information included in the APR to be submitted at February 1st. During the following meetings, the SICC continue with its assessment of the system infrastructure to identify possible barriers that can affect the implementation of the identified improvement strategies. The Puerto Rico stakeholder group is composed of representatives of Developmental Delays Institute (UPR UCEDD/LEND), the Families and Children's Affairs Administration, the Association of Parents of Children with Disabilities, the Health Services Administration, the Mental Health Services Administration, Health Insurance Commissioner, Centro Margarita (Service CBO), NY Foundling, the Office of the Ombudsman for Persons with Disabilities, SER de Puerto Rico (Service CBO), Medicaid, Department of Education, and Army Educational & Developmental Intervention Services.

Attachments

File Name	Uploaded By	Uploaded Date
No APR attachments found.		

Reporting to the Public:

How and where the State reported to the public on the FFY 2015 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2015 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2015 APR in 2017, is available.

Puerto Rico have made its FFY 2015 APR available through public means, including posting on the website of the Puerto Rico Department of Health and distribution through public agencies, including the Puerto Rico Department of Education, Early Head Start/Head Start Programs, Department of the Family, Office of the Ombudsman for Persons with Disabilities, Developmental Disabilities Council, University Center of Excellence in Developmental Disabilities, and the Parents Training Center. Puerto Rico Annual Performance Report will be available in English and a detailed document with the results for Indicators one (1) through eight (8) by Early 2/1/2018

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Intervention Programs (EIP) compared to overall Puerto Rico performance will be developed in Spanish and posted on the Department of Health website.

The FFY 2015 APR presentation is available here: [2015 Public Reporting](#)

Attachments

File Name	Uploaded By	Uploaded Date	Remove
sicc certification.pdf	Abraham Rivera Alvarado		<input type="button" value="R"/> e m o v e

Actions required in FFY 2015 response

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		80.50%	93.00%	93.70%	96.00%	98.20%	97.10%	97.00%	99.30%	96.69%	99.37%

FFY	2015
Target	100%
Data	99.46%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
187	198	99.46%	100%	100%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.</i>	11
---	----

Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated).

The Supervision and Monitoring Unit considers that a service has been provided in a timely manner if the period from parent consent, at the IFSP meeting, to the day that the service is provided is 30 days or less. During monitoring and data collection activities, randomly selected records are reviewed, specifically, the IFSP date and the date of service provision in the service provider's progress notes are taken into account.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

The Puerto Rico Part C team selected the records for the evaluation of Indicator 1 according to the date of the IFSP meeting. The inclusion criterion was that the IFSP had to be developed between July 1st, 2016 and June 30th, 2017. All (7) seven EI programs in Puerto Rico were included for data collection. The State office does not make a sample to select some local programs for monitoring purposes on this indicator. The SMU used the regional child count to produce a list of records that fall within the inclusion criterion for the selection of a random sample. A random numbers list is generated based on the sample size calculated using the web-based tool, OpenEpi. Records are then chosen matching the number from the random numbers list to the record from the records list. For the past two (2) years, the Monitoring and Supervision Unit staff made an effort to increase the sample of records to be monitored, to assure an appropriate implementation of procedures and also, an appropriate correction of findings of non-compliance. For all regional programs, data were collected through onsite monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented a timely provision of services are included in the numerator and denominator for calculating the data.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 1: Timely provision of services

Correction of Previous Findings of Noncompliance

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
1	1	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings of noncompliance are included in corrective action plans that regional supervisors submit to the Supervision and Monitoring Unit and, in direct technical assistance. The reasons for the delay in service provision were included as topics discussed with the regional supervisors in their monthly meetings to strengthen compliance with the indicator. FFY 2015 records were also reviewed through convenience sampling during subsequent on-site monitoring activities to ensure that the regional programs were correctly implementing the regulatory requirements, and there were no findings. Also, the State has verified that the regional program that reported the event of noncompliance for FFY 2015, did not have findings in FFY 2016. The program has achieved 100% compliance with this indicator.

Describe how the State verified that each individual case of noncompliance was corrected

In the case of the local program with the finding of noncompliance, services for the family were supposed to initiate in accordance with the IFSP, although not in a timely manner, but the child exited the program at age three, and was no longer in the program's jurisdiction.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			99.20%	99.30%	99.40%	99.50%	99.50%	99.70%	99.70%	99.81%	99.81%
Data		99.70%	99.70%	99.90%	99.00%	99.80%	99.90%	100%	98.40%	99.81%	99.92%

FFY	2015
Target ≥	99.82%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	99.82%	99.83%	99.83%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Stakeholders play an important role in the identification of settings that promote child development. In those cases where the child needs to be placed in a more appropriate setting, the agencies that are part of the SICC identify possibilities and those alternatives are provided to the families. During the past FFY, all of EI participants (100%) received services in the NE.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	2,871	
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Total number of infants and toddlers with IFSPs	2,871	

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
2,871	2,871	100%	99.82%	100%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments
Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? **No**

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2011	Target ≥						54.40%	54.50%	54.50%	42.00%	43.79%	43.80%
		Data					54.40%	45.20%	33.30%	39.40%	39.20%	43.79%	65.68%
A2	2011	Target ≥						68.30%	68.40%	68.50%	55.00%	56.99%	57.00%
		Data					68.30%	53.50%	43.80%	53.90%	46.50%	56.99%	76.24%
B1	2011	Target ≥						47.90%	48.00%	48.70%	36.00%	46.63%	46.64%
		Data					47.90%	39.00%	43.80%	32.50%	31.30%	46.63%	71.10%
B2	2011	Target ≥						33.50%	33.60%	33.70%	22.00%	34.68%	34.69%
		Data					33.50%	21.30%	16.60%	18.70%	12.60%	34.68%	52.45%
C1	2011	Target ≥						40.20%	40.30%	40.40%	34.00%	38.02%	38.03%
		Data					40.20%	35.90%	32.40%	28.30%	29.00%	38.02%	63.10%
C2	2011	Target ≥						50.90%	51.00%	51.10%	45.00%	51.35%	51.36%
		Data					50.90%	41.30%	41.70%	43.90%	37.70%	51.35%	71.74%

	FFY	2015
A1	Target ≥	43.81%
	Data	63.83%
A2	Target ≥	57.01%
	Data	82.64%
B1	Target ≥	46.65%
	Data	73.53%
B2	Target ≥	34.70%
	Data	56.01%
C1	Target ≥	38.04%
	Data	61.18%
C2	Target ≥	51.37%
	Data	77.94%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A1 ≥	43.82%	43.83%	43.84%
Target A2 ≥	57.02%	57.03%	57.04%
Target B1 ≥	46.66%	46.67%	46.68%
Target B2 ≥	34.71%	34.72%	34.73%
Target C1 ≥	38.05%	38.06%	38.07%
Target C2 ≥	51.38%	51.39%	51.40%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

The State explained to stakeholders about the COS data analysis and they are conscious of the continuous EIP efforts to collect more data that is reliable. This effort have increased the percentage of children outcomes data reported from 16% in FFY 2013 to 78% in FFY 2015 when compared to the number of children exiting the Program in the 618 Data Exiting report. Improvement in child and family outcomes is a goal of the SSIP and the 2/1/2018

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	2416.00
--	---------

Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	37.00	1.53%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	233.00	9.64%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	89.00	3.68%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	462.00	19.12%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1595.00	66.02%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	551.00	821.00	63.83%	43.82%	67.11%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	2057.00	2416.00	82.64%	57.02%	85.14%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	30.00	1.24%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	513.00	21.23%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	519.00	21.48%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1203.00	49.79%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	151.00	6.25%

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program $(c+d)/(a+b+c+d)$.	1722.00	2265.00	73.53%	46.66%	76.03%	Met Target	No Slippage
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program $(d+e)/(a+b+c+d+e)$.	1354.00	2416.00	56.01%	34.71%	56.04%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	31.00	1.28%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	331.00	13.70%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	103.00	4.26%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	528.00	21.85%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	1423.00	58.90%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	631.00	993.00	61.18%	38.05%	63.54%	Met Target	No Slippage
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	1951.00	2416.00	77.94%	51.38%	80.75%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

List the instruments and procedures used to gather data for this indicator.

All seven (7) local programs in Puerto Rico gather the data through the Child Outcomes Summary Form. It is filled out the day the initial eligibility for Part C services is determined, at the annual determination(s) and at the time of exit. The data collected is also transferred to an Excel sheet designed at the Central Level. This sheet is sent monthly to the SMU, in which infants and toddlers that exited the Program the month prior the sheet submission, are included. If needed, local programs may review records and/or ask service providers for more information to ensure the data reported are accurate. The SMU makes sure the data are gathered and contacts the local programs if further clarifications are needed. At the time of analysis, the ECTA Center COS Calculator is used as a guidance for data quality and for correcting data issues that were not assessed during the year. The SMU also consolidates the annual regional information into Puerto Rico's report to use it as the indicator 3 data.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 3: Early Childhood Outcomes

Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
Historical Data and Targets

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					85.90%	86.90%	87.90%	88.00%	90.10%	90.46%	90.47%
		Data			60.00%	87.00%	97.70%	94.00%	96.00%	95.60%	92.92%	90.46%	96.23%
B	2006	Target ≥					83.20%	84.20%	85.20%	85.30%	91.10%	89.06%	89.07%
		Data			57.00%	86.00%	96.20%	93.40%	95.00%	95.00%	92.41%	89.06%	96.23%
C	2006	Target ≥					89.20%	90.20%	91.20%	91.30%	93.20%	94.39%	94.40%
		Data			79.00%	91.00%	98.90%	95.80%	97.00%	98.00%	95.95%	94.39%	98.12%

	FFY	2015
A	Target ≥	90.48%
	Data	96.96%
B	Target ≥	89.08%
	Data	96.59%
C	Target ≥	94.41%
	Data	98.42%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A ≥	90.49%	90.50%	94.51%
Target B ≥	89.09%	89.10%	89.11%
Target C ≥	94.42%	94.43%	94.44%

Key: Blue – Data Update

Targets: Description of Stakeholder Input

Previous comments of the stakeholders included the revision of the family survey, the identification of the best times for the survey distribution and a selection criteria for the families to be surveyed.

The EI program team decided to make the survey when the child exit the program in order to collect information of families whose children met their goals or make the transition to Part B. This procedure will allow the program to obtain information of a more diverse group of families.

The suggestion of the SICC members to establish a participation selection criteria was accepted and currently the survey is distributed to every family that have at least 6 months of participation in the program.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
FFY 2016 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 SPP/APR Data

Number of families to whom surveys were distributed		799.00
Number of respondent families participating in Part C	100%	799.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights		770.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights		799.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs		768.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs		799.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn		783.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn		799.00

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	96.96%	90.49%	96.37%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	96.59%	89.09%	96.12%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	98.42%	94.42%	98.00%	Met Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

Using a 22 item scale of NCSEAM Survey this State has collected the data through face-to-face interviews or through a self-administered questionnaire for families who opted to complete the survey anonymously.

Every family with a child receiving Part C services for at least six (6) months at the time of exit had the opportunity to partake in the survey. The family survey response group represents the population of children that were active in the Early Intervention Program (EIP) from July 1, 2016 to June 30, 2017 in every local program, by age group, eligibility criteria, and by geographic location. Surveys were returned from 7 EIPs throughout Puerto Rico. In total, 799 surveys were returned.

Puerto Rico has selected to apply the standards recommended by NCSEAM as a way of obtaining the percent to be reported for Indicators 4a, 4b, and 4c. To establish a recommended standard, NCSEAM convened a group of nationally representative stakeholders, including parents of children with disabilities, state directors of special education, state early intervention coordinators, district and program personnel, advocates, attorneys, and community representatives. Participants were invited to examine a set of items from the IFS, laid out in their calibration order. The items towards the bottom of the scale, having lower calibrations, are items that families tend to agree with most.

The items towards the top of the scale, having higher calibrations, are items that families tend to agree with least. Because of the robust structure of the scale, a respondent who agrees with a given statement will have a very high likelihood of agreeing, or agreeing even more strongly, with all the items below it on the scale.

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.
 Yes

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement
Required Actions from FFY 2015

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 5: Child Find (Birth to One)

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			0.60%	0.70%	0.50%	0.55%	0.60%	0.61%	0.62%	0.48%	0.49%
Data		0.56%	0.61%	0.63%	0.55%	0.57%	0.59%	0.52%	0.40%	0.49%	0.45%

FFY	2015
Target ≥	0.51%
Data	0.66%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	0.53%	0.55%	0.57%

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

The PR SICC has an active role in the dissemination of the availability of early intervention services. During the last FY, the SICC collaborated with the EIP in the implementation of Law #200 of 2014. This law requires the PR Department of Health to promote EI services in hospitals, clinics, and service providers' offices. A strategic plan (see attachment) was developed for the implementation of the Law and the EI program has an action plan to disseminate information among health care facilities y service agencies. Each one of the EI regions developed specific dissemination plans using new promotional materials (brochures and posters with child developmental milestones). PR SICC suggested the use of the CDC's **Learn the signs, act early** materials to disseminate information regarding child development as a strategy for early identification by collaborating partners in order to obtain timely referrals.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 1 with IFSPs	132	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 1	null	30,122
TBD			null	

Explanation of Alternate Data

The number of infants and toddlers birth to 1 corresponds to the US Census data population estimates for Puerto Rico for 2016.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
132	30,122	0.66%	0.53%	0.44%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for Slippage

During the past 9 years, Puerto Rico total live births decreased in around 39%, from 46,750 in 2007 to 28,339 in 2016 (See attachment). Emigration is another factor that explained the low percentage in this indicator. According to the US Census, a total of 5,419 persons from 1 to 4 years had move to other states ([American Fact Finder US Census](#)). Child find activities will continue to assure early identification and referral to the system of services.

Compare your results to the national data

National data for FFY 2015 is 1.20%, whereas as Puerto Rico's for FFY 2016 is .44%. Our data is significantly below national average due to some particularities of the jurisdiction such as the consistent decrease in the number of live births and the high rates of emigration from Puerto Rico to other states or jurisdictions as a consequence of the fiscal situation can explain this difference. However, our data is consistent when compared with similar jurisdictions in the Pacific and Caribbean. That may be a more reflective comparison than a national one.

Nevertheless the child find activities continues as planned. Regional supervisors are implementing a dissemination plan to provide EIP information in community settings. New posters and brochures have been shared with all local programs. Also, new efforts for disseminating the program information will be recorded in the quarterly referral report to further evaluate the results of these activities.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)
Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥			2.05%	2.10%	2.15%	2.20%	2.25%	2.26%	2.35%	3.08%	3.09%
Data		2.56%	2.85%	3.25%	3.43%	3.61%	3.86%	3.78%	2.98%	3.09%	3.29%

FFY	2015
Target ≥	3.10%
Data	3.18%

Key:  Gray – Data Prior to Baseline  Yellow – Baseline  Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	3.11%	3.12%	3.13%

Key:  Blue – Data Update

Targets: Description of Stakeholder Input

The PR SICC has an active role in the dissemination of the availability of early intervention services. During the last FY, the SICC collaborated with the EIP in the implementation of Law #200 of 2014. This law requires the PR Department of Health to promote EI services in hospitals, clinics, and service providers' offices. A strategic plan (see attachment) was developed for the implementation of the Law and the EI program has an action plan to disseminate information among health care facilities y service agencies. Each one of the EI regions developed specific dissemination plans using new promotional materials (brochures and posters with child developmental milestones). PR SICC suggested the use of the CDC's **Learn the signs, act early** materials to disseminate information regarding child development as a strategy for early identification by collaborating partners in order to obtain timely referrals.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 3 with IFSPs	2,871	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 3	null	93257
TBD			null	

Explanation of Alternate Data

The number of infants and toddlers birth to 3 corresponds to the US Census data population estimates for Puerto Rico. As part of the Child Find activities since last year, regional supervisors had the duty of disseminating EIP information in community settings.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
2,871	93,257	3.18%	3.11%	3.08%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Reasons for Slippage

Compare your results to the national data

The National data for FFY 2015 is 3%, whereas Puerto Rico's for FFY 2016 is 3.08%. Although Puerto Rico did not meet the target of 3.11% that was established, our number is above national data.

As part of the Child Find activities, regional supervisors had the duty of disseminating EIP information in community settings. New posters and brochures have been shared with all local programs. Also, new efforts for disseminating the program information will be recorded in the quarterly referral report to further evaluate the results of these activities.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)
Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		86.80%	97.40%	98.40%	93.40%	100%	100%	100%	89.30%	97.35%	99.37%

FFY	2015
Target	100%
Data	98.73%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
133	133	98.73%	100%	100%	Met Target	No Slippage
* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.						
Number of documented delays attributable to exceptional family circumstances This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.						0

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

The Puerto Rico Part C team selected the records for the evaluation of Indicator 7 according to the date when the referral was received. The inclusion criterion was that the referral had to be received between July 1st, 2016 and June 30th, 2017. All (7) seven EI programs in Puerto Rico were included for data collection. Each one of the regional supervisors was required to hand in a list of records for the selection of a random sample. A random numbers list is generated based on a sample size calculated using a web tool, OpenEpi. Records are then chosen matching the number from the random numbers list to the record from the records list. For the past two (2) years, the Monitoring and Supervision Unit staff made an effort to increase the sample of charts to be monitored, to assure an appropriate implementation of procedures and also, an appropriate correction of non-compliance issues. For all regional programs, data were collected through on-site monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented a timely provision of services are included in the numerator and denominator for calculating the data.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 7: 45-day timeline

Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
2	2	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings of non-compliance are included in corrective action plans that regional supervisors submit to the Supervision and Monitoring Unit and, in direct technical assistance. The reasons for the delay in service provision were included as topics discussed with the regional supervisors in their monthly meetings to strengthen compliance with the indicator. FFY 2015 records were reviewed through convenience sampling during subsequent on-site monitoring activities to ensure that the regional programs were correctly implementing the regulatory requirements, and there were no findings. The programs have achieved 100% compliance in this indicator. The State has verified that the regional program that reported events of noncompliance for FFY 2015, did not have findings in FFY 2016.

Describe how the State verified that each individual case of noncompliance was corrected

The State verified the cases of noncompliance were corrected. The regional program completed the action required in both cases: evaluation for eligibility determination, assessment and IFSP meeting.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8: Early Childhood Transition

FFY 2016 Data: All Indicator 8 Sections

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Number of toddlers with disabilities exiting Part C	3,499
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,770

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		96.10%	91.60%	100%	93.20%	98.60%	100%	100%	100%	97.53%	93.09%

FFY	2015
Target	100%
Data	93.97%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/9/2018	Number of toddlers with disabilities exiting Part C	3,499	126

Explanation of Alternate Data

A sample of 127 records was selected to verify compliance with this indicator. One of the records was excluded because referral to Part C was made less than 90 days prior the toddler's third birthday. 126 records were reviewed in detail for this indicator. All records represent toddlers with disabilities exiting Part C who were potentially eligible for Part B.

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
116	126	93.97%	100%	96.03%	Did Not Meet Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	5
--	---

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 8A according to the date transition steps were given to the families that is recorded in the EI Data System. The inclusion criterion was toddlers whose third birthday was between September 29st, 2016 and September 28th, 2017 and for whom transition steps were required to be given to the families at least 90 days prior the child's third birthday. The records selected were due to have the transition steps between July 1st, 2016 and June 30th, 2017. All (7) seven EI programs in Puerto Rico were included for data collection. The SMU used the regional child counts to produce a list of records that fall within the inclusion criterion for the selection of a random sample. A random numbers list is generated based on a sample size calculated using a web-based tool, OpenEpi. Records are then chosen matching the number from the random numbers list to the record from the records list. For all regional programs, data were collected through on-site monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented timely transitions steps and transition conference are included in the numerator and denominator for calculating the data.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8A: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
7	7	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings of noncompliance were included in corrective action plans that regional supervisors had to submit to the Supervision and Monitoring Unit and, in direct technical assistance. The reasons for delay in the development of the IFSP with transition steps and services were included as topics discussed with the regional supervisors in their monthly meetings to strengthen compliance with the indicator. FFY 2015 records were also reviewed through convenience sampling during subsequent on-site monitoring activities to ensure that the regional programs were correctly implementing the regulatory requirements, and there were no findings. The State was able to verify correction as the regional programs with previous findings of noncompliance achieved 100% compliance in this indicator.

Describe how the State verified that each individual case of noncompliance was corrected

The State verified through record review that all cases of noncompliance were corrected within a year of notification. For all seven (7) children and their families, a plan with transitions steps was developed, although less than 90 days for their third birthday.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		91.40%	99.00%	100%	100%	100%	98.40%	100%	100%	87.65%	90.32%

FFY	2015
Target	100%
Data	98.28%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/9/2018	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,770	126

Explanation of Alternate Data

A sample of 127 records was selected to verify compliance with this indicator. One of the records was excluded because referral to Part C was made less than 90 days prior the toddler's third birthday. 126 records were reviewed in detail for this indicator. All records represent toddlers with disabilities exiting Part C who were potentially eligible for Part B.

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
115	126	98.28%	100%	93.50%	Did Not Meet Target	Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of parents who opted out <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	3
--	---

Reasons for Slippage

For FFY 2016, the reason for slippage are cases that were not included in the transition data from Part C to Part B. Every month, no later than the 15th day, the Part C Data Manager transfers transition data to the Part B Data Manager, which constitutes the formal notification/referral to Part B of those potentially eligible children. The data are collected from each of the regional programs, consolidated and then shared. Two regional programs did not include some of the children that were selected in the sample for record review and data collection for this indicator, thus having findings of noncompliance.

Describe the method used to collect these data

Puerto Rico Part C team selected the records for the evaluation of Indicator 8B according to the date notification to SEA/LEA recorded in the EI Data System and charts. The inclusion criterion was toddlers whose third birthday was between September 29st, 2016 and September 28th, 2017 and for whom notification to the SEA/LEA was due at least 90 days prior the child's third birthday. The records selected were due to have the notification made between July 1st, 2016 and June 30th, 2017. All (7) seven EI programs in Puerto Rico were included for data collection. The SMU used the regional child counts to produce a list of records that fall within the inclusion criteria for the selection of a random sample. A random numbers list is generated based on a sample size calculated using a web tool, OpenEpi. Records are then chosen matching the number from the random numbers list to the record from the records list. For all regional programs, data were collected through on-site monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications.

Do you have a written opt-out policy? Yes

Is the policy on file with the Department? Yes

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

All seven (7) regional EI programs were included in the onsite monitoring activities and data collection. Puerto Rico Part C does not make a sample to select some local programs for monitoring purposes on this indicator.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8B: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
2	2	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings of noncompliance were included in corrective action plans that regional supervisors had to submit to the Supervision and Monitoring Unit and, in direct technical assistance. The reasons for delay in the development of the IFSP with transition steps and services were included as topics discussed with the regional supervisors in their monthly meetings to strengthen compliance with the indicator. FFY 2015 data was also reviewed through convenience sampling during subsequent monitoring activities to ensure that the regional programs were correctly implementing the regulatory requirements, and there were no findings. The State was able to verify correction as the regional programs with previous findings of noncompliance achieved 100% compliance in this indicator.

Describe how the State verified that each individual case of noncompliance was corrected

The State verified through monitoring activities that both cases of noncompliance were corrected within a year of notification. For all two (2) children and their families, the notification/referral to the SEA/LEA was made, although less than 90 days for their third birthday.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition
Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		64.20%	88.00%	99.60%	97.50%	100%	100%	100%	100%	97.53%	93.09%

FFY	2015
Target	100%
Data	93.97%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY 2016 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	1/9/2018	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	1,770	126

Explanation of Alternate Data

A sample of 127 records was selected to verify compliance with this indicator. One of the records was excluded because referral to Part C was made less than 90 days prior the toddler's third birthday. 126 records were reviewed in detail for this indicator. All records represent toddlers with disabilities exiting Part C who were potentially eligible for Part B.

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
116	126	93.97%	100%	96.03%	Did Not Meet Target	No Slippage

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	0
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	5

What is the source of the data provided for this indicator?

- State monitoring
- State database

Describe the method used to select EIS programs for monitoring.

Puerto Rico Part C team selected the records for the evaluation of Indicator 8C according to the transition conference's date that is recorded in the EI Data System. The inclusion criterion was toddlers whose third birthday was between September 29st, 2016 and September 28th, 2017 and for whom transition steps were required to be given to the families at least 90 days prior the child's third birthday. The records selected were due to have the transition conference between July 1st, 2016 and June 30th, 2017. All (7) seven EI programs in Puerto Rico were included for data collection. The SMU used the regional child counts to produce a list of records that fall within the inclusion criterion for the selection of a random sample. A random numbers list is generated based on a sample size calculated using a web tool, OpenEpi. Records are then chosen matching the number from the random numbers list to the record from the records list. For all regional programs, data were collected through on-site monitoring activities conducted by the SMU, explaining all the process and its importance to the regional supervisors. After the on-site data collection is made, the SMU performs the analysis and, if necessary, calls the regional supervisors for further information and clarifications. Documented exceptional family circumstances that prevented timely transitions steps and transition conference are included in the numerator and denominator for calculating the data.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 8C: Early Childhood Transition

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition
Correction of Previous Findings of Noncompliance

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
7	7	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Findings of noncompliance were included in corrective action plans that regional supervisors had to submit to the Supervision and Monitoring Unit and, in direct technical assistance. The reasons for delay in the development of the IFSP with transition steps and services were included as topics discussed with the regional supervisors in their monthly meetings to strengthen compliance with the indicator. FFY 2015 records were also reviewed through convenience sampling during subsequent on-site monitoring activities to ensure that the regional programs were correctly implementing the regulatory requirements, and there were no findings. The State was able to verify correction as the regional programs with previous findings of noncompliance achieved 100% compliance in this indicator..

Describe how the State verified that each individual case of noncompliance was corrected

The State verified through record review that all cases of noncompliance were corrected within a year of notification. For all seven (7) children and their families, a transition steps was held, although less than 90 days for their third birthday.

Correction of Findings of Noncompliance Identified Prior to FFY 2015

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2015 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 9: Resolution Sessions

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data:

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											NA

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key: Blue – Data Update

Targets: Description of Stakeholder Input

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions
FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1(a) Number resolution sessions resolved through settlement agreements	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/1/2017	3.1 Number of resolution sessions	0	null

FFY 2016 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
0	0				Incomplete Data	n/a

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 9: Resolution Sessions

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

Historical Data and Targets

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data					40.00%						

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key: Blue – Data Update

Targets: Description of Stakeholder Input

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

FFY 2016 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.a.i Mediations agreements related to due process complaints	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.b.i Mediations agreements not related to due process complaints	0	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1 Mediations held	0	null

FFY 2016 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data	Status	Slippage
0	0	0			100%	Incomplete Data	N/A

* FFY 2015 Data and FFY 2016 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 10: Mediation

Required Actions from FFY 2015

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2015 response

none

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan
Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data: 2013

FFY	2013	2014	2015	2016
Target		46.64%	46.65%	74.00%
Data	46.63%	71.10%	73.53%	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2017 - FFY 2018 Targets

FFY	2017	2018
Target	74.50%	75.00%

Key: Blue – Data Update

Description of Measure

Our initial selected measure was: "Increase the percentage of infants and toddlers who exit at age expectations in the acquisition and use of knowledge and skills, including early language/communication" (Outcome B, Summary Statement 2). It is important to notice that after submission of the SSIP phase 1, a change was proposed to the SIMR: keeping outcome B as our focus of improvement but using summary statement 1 as our measure of improvement. This measure was considered more significant to our efforts in improving outcome B. While SS1 includes all toddlers that show improvement in outcome B.

Targets: Description of Stakeholder Input

The State explained to stakeholders about data analysis based on past year's results and they are conscious of the EIP efforts to improve in the analysis and revision of COS data. This effort increased the percentage of children outcomes data reported from FFY 2013-2014 to FFY 2014 – 2015 from 16% to a 62.4% when compared to the number of children exiting the Program in the Exiting Data report. Our target for FFY 2015 – 2016 was to exceed the 70% of COSF revisions to comply with the Data Completeness criterion on the Results Matrix for the States Determination Letters. Improvement in child and family outcomes is a goal of the SSIP and the stakeholders are partners with EIP staff in the identification and implementation of strategies that will help the program to improve results. One of the stakeholder comments when the initial targets were submitted was to analyze progress in the SIMR for at least two years to try to identify patterns before making any changes; after two years of fine tuning the result data gathering and analysis, PR is confident enough to change the targets and continue developing other strategies to improve the measure.

Overview

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 11: State Systemic Improvement Plan

Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 11: State Systemic Improvement Plan

Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 11: State Systemic Improvement Plan

Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 11: State Systemic Improvement Plan

Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan
Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Submitted Theory of Action: No Theory of Action Submitted



Provide a description of the provided graphic illustration (optional)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Indicator 11: State Systemic Improvement Plan

Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

Evaluation

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.
- (c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).
- (d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 11: State Systemic Improvement Plan
Data and Overview

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SiMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SiMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SiMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SiMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SiMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SiMR
4. Measurable improvements in the SiMR in relation to targets

F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Designated by the Lead Agency Director to certify

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Manuel I. Vargas Bernal

Title: PR MCH Division Director

Email: mivargas@salud.pr.gov

Phone: 787-765-2929